Tokyo University of Agriculture and Technology, Grant Funds Acceptance Regulations

Regulation No. 60, Kei/Kyou , April 7, 2004 Revision Regulation No. 74, Kei/Kyou , October 29, 2004 Regulation No. 26, Kei/Kyou , October 29, 2004 Regulation No. 8, Kei/Kyou , April 7, 2004 Regulation No. 20, Kyou , April 7, 2004 Regulation No. 35, Kyou , April 7, 2004 Regulation No. 43, Nichi/Kei/Kyou, April 7, 2004

Article 1 Purpose

Pursuant to Article 22, Paragraph 1 No. 3 of the National University Corporation Act (Act No. 112 of 2003), these Regulations prescribe administrative matters pertaining to acceptance of grant funds by Tokyo University of Agriculture and Technology (hereinafter referred to as "TUAT").

Article 2 Definition of Terms

In these Regulations, the following terms take their meanings as defined below.

(1) "Grant Funds" means cash and notes as prescribed in Article 17 Section 1 of the Tokyo University of Agriculture and Technology Accounting Rules for the purpose of providing proprietary support for the performance of operations at TUAT, provided that grant funds does not include cash and notes received as a fund.

(2) "Overseas Organization etc." means an organization etc. determined as being a non-resident as prescribed through operation and interpretation of Article 6-1-5, 6 (Determination Standards for Residence) of the Circular Notice of Ministry of Finance (Zoukoku No. 4672, November 29, 1980).

Article 3-1 Standards for Acceptance of Grant Funds

The President shall treat as Grant Funds, a grant to TUAT for the purpose of application toward expenses incurred for the following:

(1) Tuition expenses providing a loan or salary to a student

(2) Purchasing costs for books, machinery and instruments, specimens etc. provided as a loan or salary to a student

(3) Expenses for academic research (including expenses required for acquisition of land, buildings and associated facilities/equipment)

(4) Expenses for the purpose of furthering education and research

(5) Other expenses which are considered to contribute toward education and research at TUAT.

Article 3-2 Conditions on the Grantor

A grantor of Grant Funds may attach the following conditions to their grant:

- (1) Specification of the academic research to which their Grant Funds may be applied
- (2) A requirement that the results of the academic research derived from their Grant Funds be reported
- (3) A requirement for production of a report outlining the accounting for expenditures associated with their Grant Funds
- (4) A requirement for return of funds remaining after the purpose of the grant is completed
- (5) In addition to the above, any condition deemed by TUAT to not impede education and research at TUAT

Article 4-1 Conditions for Invalidating Grant Funds

1. Grant funds with any of the following conditions applied may not be accepted:

(1) A condition to hand over property acquired through utilization of the Grant Funds to the grantor free of charge

(2) A condition to transfer to or facilitate exercise by the grantor of, patent rights, utility model rights, design rights, trademark rights, copyright rights or other rights equivalent thereto arising as a result of academic research through utilization of the Grant Funds

(3) A condition that the grantor perform an accounting audit on the usage of the Grant Funds

(4) A condition that after the grant application is made, the grantor may at their own discretion, cancel all or part of the Grant Funds

2. In addition to the prescriptions of the preceding section, Grant Funds may not be accepted in the following cases:

(1) When there is concern that acceptance of the Grant Funds would result in a substantial increase in expenditures incurred by TUAT

- (2) When there is concern about the social standing or reputation of the grantor
- (3) Other conditions deemed inappropriate by the President

Article 4-2 Compliance with Safe Export Management Systems

In accepting Grant Funds from an Overseas Organization etc. the prescriptions of the Foreign Exchange and Foreign Trade Act (Act No. 228 of 1949) and all cabinet orders, ordinances or notifications pursuant thereto, as well as the Tokyo University of Agriculture and Technology Safe Export Management Regulations and other internal TUAT regulations must be complied with.

Article 5 Examination Committee

1. The President shall appoint an External Funding Examination Committee (hereinafter referred to as "Funding Examination Committee") to the Institute of Agriculture and the Institute of Engineering, who shall examine whether to accept provision of Grant Funds.

2. Matters pertaining to the Funding Examination Committee are as prescribed separately.

Article 6-1 Determination of an Application for Provision of Grant Funds

1. When the President receives an application for provision of Grant Funds, the President shall determine the application in the light of the examination conducted by the Funding Examination Committee as prescribed in the preceding article.

2. The President shall report in summary to the Education and Research Council concerning acceptance of provision of Grant Funds.

3. When the President has made a determination concerning acceptance of Grant Funds the President shall notify the applicant grantor, and in the case when an application is declined, shall notify the grantor of the reasons.

Article 6-2 Redirection of Grant Funds etc.

1. In the case that an individual officer or employee of TUAT comes to be stationed with another research organization etc., the President may, after consultations with the head of such research organization etc., redirect the Grant Funds concerned to that research organization etc.

2. In the case that Grant Funds become unable to be used for their original purpose the President may cancel, redirect or alter the usage purpose of the Grant Funds.

Article 7 Prohibition on Private Handling of Grant Funds

In the case that an individual officer or employee of TUAT receives Grant Funds corresponding to any of the following, they shall immediately perform the grant procedures of TUAT and may not process such funds themselves.

(1) Grant funds for education and research pursuant to the work duties of such officer or employee

(2) Grant funds utilized in educational research that employs facilities or equipment etc. of TUAT

## Article 8 Administration

Administration work associated with acceptance of Grant Funds shall be handled by the Research Support Office of the Research and International Affairs Division.

## Article 9 Miscellaneous

Other necessary matters pertaining to the handling of Grant Funds may be prescribed separately in addition to these Regulations.

Supplementary Provision These Regulations shall come into effect from April 7, 2004, and shall be applied retrospectively from April 1, 2004.

Supplementary Provision (Regulation No. 74, 16-Kei/Kyou, October 29, 2004)

These Regulations shall come into effect from October 29, 2004, and the Tokyo University of Agriculture and Technology, Grant Funds Acceptance Regulations post-amendment, shall be applied retrospectively from June 1, 2004.

Supplementary Provision(Regulation No. 26, 17-Kei/Kyou, April 28, 2005)These Regulations shall come into effect from April 28, 2005, and the Tokyo University of Agriculture and Technology,Grant Funds Acceptance Regulations post-amendment, shall be applied retrospectively from April 1, 2005.

Supplementary Provision(Regulation No. 8, 22-Kei/Kyou, April 1, 2010)These Regulations shall come into effect from April 1, 2010.

Supplementary Provision(Regulation No. 20, 25-Kyou, April 1, 2013)These Regulations shall come into effect from April 1, 2013.

Supplementary Provision(Regulation No. 35, Kyou, August 1, 2013)These Regulations shall come into effect from August 1, 2013.

Supplementary Provision(Regulation No. 43, Kei/Kyou, October 28, 2013)These Regulations shall come into effect from October 28, 2013 and shall be applied retrospectively from September 20, 2013.